



**Rule 218.d.(1)D.i.-iii.**  
**Form 9 – Subsequent Document Number 404614704**

Buying Operator acknowledges the following as it relates to Responsible Party status:

- i. For Transferable Items listed in Rule 218.d.(1).B.i, Buyer acknowledges that upon the effective date of transfer, the Buying Operator assumes all responsibility for compliance with the Act, the Commission's Rules, and all terms and conditions of existing Permits and Commission orders for the Transferable Items, as defined by ECMC Rules;
- ii. For Transferable Items listed in Rules 218.d.(1).B.ii or iii, Buyer acknowledges that the Buying Operator may be or may become responsible for compliance with the Act, the Commission's Rules, and all terms and conditions of existing Permits and Commission orders if the Buying Operator takes any action, or fails to take any action, that would cause such Transferable Item to be out of compliance with the Act, the Commission's Rules, and all terms and conditions of existing Permits and Commission orders, as defined by ECMC Rules; and
- iii. For Transferable Items not listed in Rule 218.d.(1).B.i–iii but related in the Commission's records, Buyer acknowledges that the Commission will presume that the Transferable Item was transferred, and that the Buying Operator is responsible for compliance with the Act, the Commission's Rules, and all terms and conditions of existing Permits and Commission orders for the Transferable Items, as defined by ECMC Rules.

SELLING OPERATOR:

Confluence DJ LLC

A handwritten signature in blue ink, appearing to read "Curtis Emerson", is written over a horizontal line.

Curtis Emerson  
Chief Financial Officer