

**State of Colorado**  
**Oil and Gas Conservation Commission**

1120 Lincoln Street, Suite 801, Denver, Colorado 80203 Phone: (303) 894-2100 Fax: (303) 894-2109



**Document Number:**

**402917945**

**Receive Date:**

**01/05/2022**

**TRANSFER OF OPERATORSHIP**

A Selling Operator will notify the Commission about the transfer of any Transferable Item associated with its Oil and Gas Operations to a Buying Operator by filing a Form 9, Transfer of Operatorship – Intent, with the Commission at least 30 days, or as soon as practicable, before the anticipated transfer date. (Rule 218.b.) When a transaction subject to a Form 9 – Intent becomes final, the Buying Operator will submit a Form 9 – Subsequent within 7 days of closing. (Rule 218.d.(1).)

**Type of Form 9, Transfer of Operatorship:**  Intent  Subsequent Intent # 402914841

**OPERATOR INFORMATION**

**SELLING OPERATOR INFORMATION**

OGCC Operator Number: 10749 Contact Name and Telephone:  
Name of Operator: SIMCOE LLC Name: Gina Doerner  
Address: 1199 MAIN AVE SUITE 101 Phone: (970) 852-0082  
City: DURANGO State: CO Zip: 81301 Email: gina.doerner@ikavenergy.com

**BUYING OPERATOR INFORMATION**

OGCC Operator Number: 10464 Contact Name and Telephone:  
Name of Operator: CATAMOUNT ENERGY PARTNERS LLC Name: Nolan Redmond  
Address: 1001 17TH STREET STE 1160 Phone: (720) 484-2347  
City: DENVER State: CO Zip: 80202 Email: nredmond@catamountep.com

**TRANSFER INFO**

**Transfer Dates**

Form 9 Intent - Anticipated Date of Transfer: 01/01/2022

Form 9 Subsequent - Effective Date of Transfer: s01/01/2022

**Confidentiality**

Transfer is Confidential: No

**Financial Assurance**

Form 9 Intent - Estimated amount of Financial Assurance the Buying Operator will submit prior to anticipated date of transfer: \$ 125,000

Form 9 Subsequent - The Buying Operator's Financial Assurance:

Surety ID	Bond Type	Amount
20160137	PLUGGING	100,000
20160138	SURFACE	25,000

**SUBSEQUENT LIABILITY**

**Rule 218.d.(1).D.i.**

"For Transferable Items listed in Rule 218.d.(1).B.i an acknowledgment that upon the effective date of transfer, that the Buying Operator assumes all responsibility for compliance with the Act, the Commission's Rules, and all terms and conditions of existing Permits and Commission orders for the Transferable Items."

In checking this box the Buying Operator's acknowledges the subsequent liability pursuant to Rule 218.d.(1).D.i.

**Rule 218.d.(1).D.ii.**

"For Transferable Items listed in Rule 218.d.(1).B.ii or iii, an acknowledgment that the Buying Operator may be or may become responsible for compliance with the Act, the Commission's Rules, and all terms and conditions of existing Permits and Commission orders if the Buying Operator takes any action, or fails to take any action, that would cause such Transferable Item to be out of compliance with the Act, the Commission's Rules, and all terms and conditions of existing Permits and Commission orders."

In checking this box the Buying Operator's acknowledges the subsequent liability pursuant to Rule 218.d.(1).D.ii.

**Rule 218.d.(1).D.iii.**

“For Transferable Items not listed in Rule 218.d.(1).B.i-iii but Related in the Commission’s records, an acknowledgment that the Commission will presume that the Transferable Item was transferred, and that the Buying Operator is responsible for compliance with the Act, the Commission’s Rules, and all terms and conditions of existing Permits and Commission orders for the Transferable Items.”

In checking this box the Buying Operator’s acknowledges the subsequent liability pursuant to Rule 218.d.(1).D.iii.

**SUBMITTAL**

**OPERATOR COMMENT AND SUBMITTAL**

I hereby certify all statements made in this form are, to the best of my knowledge, true, correct, and complete.

Print Name: Nolan Redmond Email: nredmond@catamountep.com

Signature: \_\_\_\_\_ Title: nredmond@catamountep.com Date: 01/05/2022

### Wells & Facilities Transferred Summary

1	Facility Type	API	Facility ID	Location ID	Facility Name	QtrQtr	Sec	Twp	Rng
	WELL	067-06481	214877	325488	PINE RIVER 3-31 1	SWSE	31	34N	6W
	<u>County</u>	<u>Min.Owner. Type</u>	<u>Current Operator Num</u>	<u>Current Operator Name</u>					
	LA PLATA	FED	10749	SIMCOE LLC					
2	Facility Type	API	Facility ID	Location ID	Facility Name	QtrQtr	Sec	Twp	Rng
	WELL	067-06633	215028	325574	SOUTHERN UTE 1-01 1	SESE	1	33N	7W
	<u>County</u>	<u>Min.Owner. Type</u>	<u>Current Operator Num</u>	<u>Current Operator Name</u>					
	LA PLATA	INDIAN	10749	SIMCOE LLC					
3	Facility Type	API	Facility ID	Location ID	Facility Name	QtrQtr	Sec	Twp	Rng
	WELL	007-06093	205216	320847	COX 2	NWNE	14	32N	6W
	<u>County</u>	<u>Min.Owner. Type</u>	<u>Current Operator Num</u>	<u>Current Operator Name</u>					
	ARCHULETA	FEE	10749	SIMCOE LLC					
4	Facility Type	API	Facility ID	Location ID	Facility Name	QtrQtr	Sec	Twp	Rng
	LOCATION	-	325488	325488	PINE RIVER UNIT-M34N6W 31SWSE	SWSE	31	34N	6W
	<u>County</u>	<u>Min.Owner. Type</u>	<u>Current Operator Num</u>	<u>Current Operator Name</u>					
	LA PLATA	FED	10749	SIMCOE LLC					
5	Facility Type	API	Facility ID	Location ID	Facility Name	QtrQtr	Sec	Twp	Rng
	LOCATION	-	325574	325574	SOUTHERN UTE-N33N7W 1SESE	SESE	1	33N	7W
	<u>County</u>	<u>Min.Owner. Type</u>	<u>Current Operator Num</u>	<u>Current Operator Name</u>					
	LA PLATA	INDIAN	10749	SIMCOE LLC					
6	Facility Type	API	Facility ID	Location ID	Facility Name	QtrQtr	Sec	Twp	Rng
	LOCATION	-	320847	320847	COX GAS UNIT-N32N6W 14NWNE	NWNE	14	32N	6W
	<u>County</u>	<u>Min.Owner. Type</u>	<u>Current Operator Num</u>	<u>Current Operator Name</u>					
	ARCHULETA	FEE	10749	SIMCOE LLC					

### Incidents Transferred Summary

1	Document Numbers	Incident Type	Incident Date	Current Op #	Current Op. Name
	667100370	UNRESOLVED FIELD INSPECTION REPORTS WITH OUTSTANDI	02/11/2015	10749	SIMCOE LLC
2	Document Numbers	Incident Type	Incident Date	Current Op #	Current Op. Name
	687905255	UNRESOLVED FIELD INSPECTION REPORTS WITH OUTSTANDI	07/19/2019	10749	SIMCOE LLC
3	Document Numbers	Incident Type	Incident Date	Current Op #	Current Op. Name
	693902237	UNRESOLVED FIELD INSPECTION REPORTS WITH OUTSTANDI	09/11/2020	10749	SIMCOE LLC

### Related Wells & Facilities Not Transferred Summary

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**Related Incidents Not Transferred Summary**

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**Wells & Facilities Proposed Not Transferred Summary**

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**Incidents Proposed Not Transferred Summary**

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## Attachment List

<b>Att Doc Num</b>	<b>Name</b>
1310887	BUYER NOTIFIED LOCAL GOVT ATTESTATION
402917945	Form 09 SUBMITTED
402917973	FORM 9 SUBSEQUENT ATTESTATION
403045991	EDD-S-INCIDENTS-TRANSFERRED
403046013	EDD-S-WELLS-FACILITIES-TRANSFERRED

Total Attach: 5 Files

## Condition of Approval

**COA Type**

**Description**

0 COA	

## General Comments

<u>User Group</u>	<u>Comment</u>	<u>Comment Date</u>
Financial Assurance	Both operators have resolved all issues. Form 9 approved.	05/11/2022
Financial Assurance	<p>I have reviewed the above captioned Form 9 transfer and have noted the following issues.</p> <p>Issue 1: The Buyer Notified Local Govt. Attestation is inadequate. Solution 1: Please state the names in the attestation of both representatives who were contacted for Archuleta and La Plata counties.</p> <p>Issue 2: Our database indicates that there are three related locations that were not accounted for in the transfer. The locations are as follows: Location IDs 320847, 325488, and 325574. Solution 2: If the locations are to transfer, please let me know and I will add them to your list of facilities transferred. If they are not to transfer, please send a list of Related Facilities Not Transferred using the attached template. Any related items not transferring will also require a Seller Retains Compliance Responsibility attestation memo in accordance with slide 5 of the attached attestation memo instruction document.</p> <p>Issue 3: Our database also indicates there are 3 incidents that are related to facilities requested for transfer that were not accounted for in accordance with Rule 218. Incident document numbers are as follows: 667100370, 687905255 and 693902237. Solution 3: These corrective actions may or may not have been resolved. FIRs with outstanding corrective actions are transferable items that must be accounted for in the Form 9 in one or more of the following ways. 1.If the compliance responsibility for such an FIR transfers to the buyer include that FIR on a Related Incidents Transferred list. 2.If the compliance responsibility for such an FIR is retained by the seller include that FIR on a Related Incidents Not Transferred list and provide a Seller Retains Responsibility Attestation.</p> <p>If the parties perform the research and determine that all corrective actions for a particular FIR have been resolved, that FIR does not need to be listed on the Form 9 but will be subject to Rule 218.d(1)D.iii.</p>	04/08/2022

Total: 2 comment(s)