

State of Colorado
Oil and Gas Conservation Commission

1120 Lincoln Street, Suite 801, Denver, Colorado 80203 Phone: (303) 894-2100 Fax: (303) 894-2109



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Receive Date:

03/17/2022

TRANSFER OF OPERATORSHIP

A Selling Operator will notify the Commission about the transfer of any Transferable Item associated with its Oil and Gas Operations to a Buying Operator by filing a Form 9, Transfer of Operatorship – Intent, with the Commission at least 30 days, or as soon as practicable, before the anticipated transfer date. (Rule 218.b.) When a transaction subject to a Form 9 – Intent becomes final, the Buying Operator will submit a Form 9 – Subsequent within 7 days of closing. (Rule 218.d.(1).)

Type of Form 9, Transfer of Operatorship: ☐ Intent ☒ Subsequent Intent # 402804792

OPERATOR INFORMATION

SELLING OPERATOR INFORMATION

OGCC Operator Number: 10670

Contact Name and Telephone:

Name of Operator: MALLARD EXPLORATION LLC

Name: Erin Mathews

Address: 1400 16TH STREET SUITE 300

Phone: (720) 543-7959

City: DENVER State: CO Zip: 80202

Email: emathews@mallardexploration.com

BUYING OPERATOR INFORMATION

OGCC Operator Number: 10691

Contact Name and Telephone:

Name of Operator: PHOENIX RESOURCES LLC

Name: Taylor Heffner

Address: 5566 S SYCAMORE STREET

Phone: (281) 460-1517

City: LITTLETON State: CO Zip: 80120

Email: theffner@phxresources.com

TRANSFER INFO

Transfer Dates

Form 9 Intent - Anticipated Date of Transfer: 09/08/2021

Form 9 Subsequent - Effective Date of Transfer: 05/01/2019

Confidentiality

Transfer is Confidential: No

Financial Assurance

Form 9 Intent - Estimated amount of Financial Assurance the Buying Operator will submit prior to anticipated date of transfer: \$ 85,000

Form 9 Subsequent - The Buying Operator's Financial Assurance:

Surety ID	Bond Type	Amount
20180033	SURFACE	25,000
20180034	PLUGGING	60,000

SUBSEQUENT LIABILITY

Rule 218.d.(1).D.i.

"For Transferable Items listed in Rule 218.d.(1).B.i an acknowledgment that upon the effective date of transfer, that the Buying Operator assumes all responsibility for compliance with the Act, the Commission's Rules, and all terms and conditions of existing Permits and Commission orders for the Transferable Items."

In checking this box the Buying Operator's acknowledges the subsequent liability pursuant to Rule 218.d.(1).D.i.



Rule 218.d.(1).D.ii.

"For Transferable Items listed in Rule 218.d.(1).B.ii or iii, an acknowledgment that the Buying Operator may be or may become responsible for compliance with the Act, the Commission's Rules, and all terms and conditions of existing Permits and Commission orders if the Buying Operator takes any action, or fails to take any action, that would cause such Transferable Item to be out of compliance with the Act, the Commission's Rules, and all terms and conditions of existing Permits and Commission orders."

In checking this box the Buying Operator's acknowledges the subsequent liability pursuant to Rule 218.d.(1).D.ii.



Rule 218.d.(1).D.iii.

"For Transferable Items not listed in Rule 218.d.(1).B.i-iii but Related in the Commission's records, an acknowledgment that the Commission will presume that the Transferable Item was transferred, and that the Buying Operator is responsible for compliance with the Act, the Commission's Rules, and all terms and conditions of existing Permits and Commission orders for the Transferable Items."

In checking this box the Buying Operator's acknowledges the subsequent liability pursuant to Rule 218.d.(1).D.iii. ☒

SUBMITTAL

OPERATOR COMMENT AND SUBMITTAL

Note: Facility ID 476716 already under the Phoenix Resources name.

I hereby certify all statements made in this form are, to the best of my knowledge, true, correct, and complete.

Print Name: Taylor Heffner Email: theffner@phxresources.com

Signature: _____ Title: Partner Date: 03/17/2022

Wells & Facilities Transferred Summary

1	Facility Type	API	Facility ID	Location ID	Facility Name	QtrQtr	Sec	Twp	Rng
	LOCATION	-	476715	476715	MCGAHEY STATE 1A FACILITY #1	SWSW	16	8N	61W
	<u>County</u>	<u>Min.Owner. Type</u>	<u>Current Operator Num</u>	<u>Current Operator Name</u>					
	WELD		10670	MALLARD EXPLORATION LLC					
2	Facility Type	API	Facility ID	Location ID	Facility Name	QtrQtr	Sec	Twp	Rng
	OFF-LOCATION FLOWLINE	-	476717	476715	MCGAHEY STATE 1A FLOWLINE_MAIN	SWSW	16	8N	61W
	<u>County</u>	<u>Min.Owner. Type</u>	<u>Current Operator Num</u>	<u>Current Operator Name</u>					
	WELD		10670	MALLARD EXPLORATION LLC					

Incidents Transferred Summary

1	<u>Document Numbers</u>	<u>Incident Type</u>	<u>Incident Date</u>	<u>Current Op #</u>	<u>Current Op. Name</u>
	696303156	INSPECTION	04/23/2021	10670	MALLARD EXPLORATION LLC
2	<u>Document Numbers</u>	<u>Incident Type</u>	<u>Incident Date</u>	<u>Current Op #</u>	<u>Current Op. Name</u>
	696303449	INSPECTION	08/05/2021	10670	MALLARD EXPLORATION LLC

Related Wells & Facilities Not Transferred Summary

1	Facility Type	API	Facility ID	Location ID	Facility Name	QtrQtr	Sec	Twp	Rng
	OFF-LOCATION FLOWLINE	-	476716	310112	STATE 1 FLOWLINE_MAIN	SWSE	16	8N	61W
	<u>County</u>	<u>Min.Owner. Type</u>	<u>Current Operator Num</u>	<u>Current Operator Name</u>	<u>Compl Resp. Op. #</u>	<u>Compl. Resp. Op. Name</u>			
	WELD		10691c	PHOENIX RESOURCES LLC	10691	PHOENIX RESOURCES LLC			

Related Incidents Not Transferred Summary

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Wells & Facilities Proposed Not Transferred Summary

< No row provided >

Incidents Proposed Not Transferred Summary

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Attachment List

<u>Att Doc Num</u>	<u>Name</u>
1310825	FORM 9 SUBSEQUENT ATTESTATION
1310826	BUYER NOTIFIED LOCAL GOVT ATTESTATION
402987596	Form 09 SUBMITTED
402987602	EDD-S-WELLS-FACILITIES-TRANSFERRED
403002891	EDD-S-INCIDENTS-TRANSFERRED

Total Attach: 5 Files

Condition of Approval

COA Type

Description

0 COA	

General Comments

<u>User Group</u>	<u>Comment</u>	<u>Comment Date</u>
Financial Assurance	Both Operators have resolved all issues. Form 9 approved.	04/04/2022
Financial Assurance	3/21/2022 Spoke the Taylor Heffner about corrections to the Subsequent Attestation, Buyer Notified Govt. Attestation and there needs to be an Incidents Transferred List or Incidents Not Transferred/Seller Retains Responsibility Attestation.	03/21/2022

Total: 2 comment(s)